

6440 University of California

The University of California was founded in 1868 as a public, state-supported land-grant institution. It was written into the State Constitution of 1879 as a public trust, to be administered by an independent governing board - the Regents of the University of California. The Board of Regents includes 28 members: seven ex officio, 20 appointed by the Governor with the approval of the Senate for staggered terms, and one student appointed by the Board.

The 1960 Master Plan for Higher Education designates the University of California as the primary state-supported academic agency for research. In addition, UC serves students at all levels of higher education in California, and is the public segment primarily responsible for awarding the doctorate and many professional degrees in areas such as medicine and law. Joint doctoral degrees may also be awarded with the California State University.

The University is headed by a President who is responsible for overall policy development, planning, and resource allocations. Chancellors are responsible for the management of individual campuses. The Regents have delegated authority to the Academic Senate to determine conditions for admission, degree requirements, and approval of courses and curricula. Special faculty committees serve in an advisory capacity to the Regents, the President, and the Chancellors in a variety of matters.

There are ten campuses: Berkeley, Davis, Irvine, Los Angeles, Merced, Riverside, San Diego, San Francisco, Santa Barbara, and Santa Cruz. Nine general campuses offer undergraduate, graduate, and professional education, with the San Francisco campus devoted exclusively to the health sciences. The University operates five teaching hospitals in Los Angeles, San Francisco, Sacramento, San Diego, and Orange counties. Approximately 150 University institutes, centers, bureaus, and research laboratories operate in all parts of the state. The University also provides oversight of one Department of Energy Laboratory and is in partnerships with private industry to manage two additional Department of Energy Laboratories.

The University of California conducts higher education programs in four major areas:

- Instruction of qualified individuals through offering lower division, upper division, graduate, professional, and postdoctoral degree programs on each of its general campuses.
- Research directed toward advancing the understanding of arts and sciences and the interpretation of human history.
- Education for professional careers.
- Public service contributing to the fulfillment of the University's obligation to disseminate knowledge.

Because department programs drive the need for infrastructure investment, each department assesses its need for new or renovated facilities, and has a significant input into capital planning and the capital outlay program to support this need. For the specifics on the University of California's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
05 Instruction	26,695.0	27,126.2	27,126.2	\$4,306,670	\$4,561,674	\$4,651,742
05.10 General Campuses Instruction	16,307.8	16,618.2	16,618.2	2,419,195	2,580,586	2,632,654
05.20 Health Sciences Instruction	8,974.6	9,095.4	9,095.4	1,664,559	1,751,804	1,789,804
05.30 Summer Sessions Instruction	113.7	113.7	113.7	11,571	12,429	12,429
05.40 University Extension Instruction	1,298.9	1,298.9	1,298.9	211,345	216,855	216,855
10 Research	3,550.4	3,444.1	3,444.1	582,802	585,112	606,270
15 Public Service	1,874.3	1,874.3	1,874.3	219,971	228,637	238,109
20 Academic Support	8,092.8	7,728.7	7,728.7	1,173,948	1,141,175	1,193,575
20.10 Libraries Academic Support	2,009.4	1,948.9	1,948.9	234,535	235,690	247,690
20.20 Other Academic Support	6,083.4	5,779.8	5,779.8	939,413	905,485	945,885
25 Teaching Hospitals	27,992.1	29,111.7	29,111.7	5,628,442	6,025,372	6,444,480
30 Student Services	5,095.3	5,095.3	5,095.3	576,311	599,363	614,363
35 Institutional Support	7,443.4	7,072.6	7,072.6	844,462	794,130	826,630
40 Operation and Maintenance of Plant	4,818.4	4,577.0	4,577.0	518,608	487,492	701,316
45 Student Financial Aid	-	-	-	1,022,446	1,256,401	1,272,291
50 Auxiliary Enterprises	-	-	-	912,201	915,000	933,300
55 Provisions for Allocation	-	-3,000.0	-3,000.0	212,649	75,329	108,376
60 Program Maintenance - Fixed Costs, Economic Factors and Salary Increases	-	-	-	-	-	5,168
70 Extramural Programs	-	-	-	5,078,706	4,849,049	4,987,176
70.10 Instruction Extramural Programs	-	-	-	333,352	317,580	325,569
70.20 Research Extramural Programs	-	-	-	3,471,515	3,296,591	3,383,265

* Dollars in thousands, except in Salary Range.

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	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
70.30 Public Service Extramural Programs	-	-	-	283,749	263,887	269,165
70.40 Academic Support Extramural Programs	-	-	-	235,273	218,804	223,419
70.50 Teaching Hospitals Extramural Programs	-	-	-	11,043	10,822	11,038
70.60 Student Services Extramural Programs	-	-	-	51,300	48,735	49,710
70.70 Institutional Support Extramural Programs	-	-	-	58,312	55,396	56,504
70.80 Operation and Maintenance of Plant Extramural Programs	-	-	-	3,427	3,427	3,496
70.90 Student Financial Aid Extramural Programs	-	-	-	614,495	617,567	648,445
70.95 Auxiliary Enterprises Extramural Programs	-	-	-	16,240	16,240	16,565
80 Department of Energy Laboratory (LBNL)	-	-	-	937,870	870,000	800,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	85,561.7	83,029.9	83,029.9	\$22,015,086	\$22,388,734	\$23,382,796
FUNDING				2010-11*	2011-12*	2012-13*
0001 General Fund				\$2,910,697	\$2,273,596	\$2,570,814
0007 Breast Cancer Research Account				8,303	9,959	9,959
0046 Public Transportation Account, State Transportation Fund				980	980	980
0234 Research Account, Cigarette and Tobacco Products Surtax Fund				12,534	12,681	13,685
0308 Earthquake Risk Reduction Fund of 1996				1,000	1,000	1,000
0321 Oil Spill Response Trust Fund				2,000	2,000	2,000
0814 California State Lottery Education Fund				27,000	32,880	32,880
0890 Federal Trust Fund				3,500	5,000	5,000
0895 Federal Funds - Not In State Treasury				17,431	18,000	18,000
0945 California Breast Cancer Research Fund				434	484	484
0992 Higher Education Fees and Income				2,903,929	3,757,739	3,758,960
0993 University Funds--Unclassified				9,998,327	10,546,372	11,176,432
0995 Reimbursements				110,294	3,001	1
1017 Umbilical Cord Blood Collection Program Fund				-	3,722	3,000
3054 Health Care Benefits Fund				1,831	1,846	2,000
7895 Extramural Federal Funds - Not in State Treasury				3,873,931	3,582,000	3,543,275
8054 California Cancer Research Fund				250	425	425
9993 Extramural Nonfederal Unclassified Funds				2,142,645	2,137,049	2,243,901
TOTALS, EXPENDITURES, ALL FUNDS				\$22,015,086	\$22,388,734	\$23,382,796

Expenditures for Fund 0995 Reimbursements include \$106.6 million in funding provided by the Federal American Recovery and Reinvestment Act (ARRA) in PY.

Budgeted programs expenditures total: 10-11=\$15,998,510,000; 11-12=\$16,669,685,000; 12-13=\$17,595,620,000. Extramural programs expenditures total: 10-11=\$5,078,706,000 and Department of Energy Laboratories (LBNL) total \$937,870,000 for a combined total of \$6,016,576,000; 11-12=\$4,849,049,000 and Department of Energy Laboratory (LBNL) total \$870,000,000 for a combined total of \$5,719,049,000; 12-13=\$4,987,176,000 and Department of Energy Laboratory (LBNL) total \$800,000,000 for a combined total of \$5,787,176,000.

Restricted funds include the following: 0007, 0046, 0234, 0308, 0321, 0814, 0890, 0895, 0945, 0992 (10-11=\$2,212,691,000; 11-12=\$2,965,399,000; 12-13=\$2,981,299,000), 0993, 0995, 3054, 8054.

This summary includes expenditures, but not personnel years for auxiliary organizations or extramural programs.

MAJOR PROGRAM CHANGES

- The University of California (UC) will receive an increase of \$90 million General Fund for base operating costs, which can be used to address costs related to retirement program contributions. UC will also receive \$5.2 million General Fund for retired annuitant benefits.

* Dollars in thousands, except in Salary Range.

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- UC's General Obligation bond debt service payments will be included in UC's base budget beginning in 2012-13. No further augmentations will be provided. Similarly, the state will not provide additional funds for UC's lease revenue debt payments beyond 2012-13.
- The various earmarks and set-asides in UC's support budget have been eliminated in 2012-13, to give UC the flexibility to prioritize its resources to address the significant budget reductions in recent years. The Governor's Budget proposes to shift \$5.0 million General Fund for the California Subject Matter Projects from UC to the State Department of Education to ensure that the funding is identified for federal matching requirements.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Trigger Reduction	-\$100,000	\$-	-	-\$100,000	\$-	-
• Lease Revenue Debt Service Adjustment	-463	-	-	9,763	-	-
• Increase Funding for Retired Annuitant Benefits	-	-	-	5,168	-	-
• Adjust Tuition and Fee Revenue Estimates	-	216,500	-	-	232,400	-
• Adjust Lottery Revenues	-	2,839	-	-	2,839	-
• Revise Funding for Tobacco Research	-	-	-	-	1,004	-
• Miscellaneous Baseline Adjustments in Extramural and Other Non-State Funds	-	86,001	-	-	768,941	-
• Eliminate Workforce Investment Act Reimbursements	-	-	-	-	-3,000	-
Totals, Other Workload Budget Adjustments	-\$100,463	\$305,340	-	-\$85,069	\$1,002,184	-
Totals, Workload Budget Adjustments	-\$100,463	\$305,340	-	-\$85,069	\$1,002,184	-
Policy Adjustments						
• Reflect General Obligation Bond Debt Service in Support Budget	\$-	\$-	-	\$196,824	\$-	-
• Provide a Base Support Budget Augmentation	-	-	-	90,000	-	-
• Transfer Funding for Subject Matter Projects to Department of Education	-	-	-	-5,000	-	-
Totals, Policy Adjustments	\$-	\$-	-	\$281,824	\$-	-
Totals, Budget Adjustments	-\$100,463	\$305,340	-	\$196,755	\$1,002,184	-

* Dollars in thousands, except in Salary Range.

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Enrollment - Full-Time Equivalent Students

	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>
	Actual	Estimated Actual	Projected
General Campuses:			
Academic Year			
Undergraduate:			
Lower Division	55,753	56,671	56,671
Resident	52,628	52,757	52,757
Nonresident	3,125	3,914	3,914
Upper Division	113,911	114,750	114,750
Resident	107,525	106,824	106,824
Nonresident	6,386	7,926	7,926
Totals, Undergraduate	<u>169,664</u>	<u>171,421</u>	<u>171,421</u>
Resident	160,153	159,581	159,581
Nonresident	9,511	11,840	11,840
Postbaccalaureate	313	313	313
Resident	313	313	313
Nonresident	-	-	-
Graduate	34,041	34,095	34,095
Resident	24,068	23,548	23,548
Nonresident	<u>9,973</u>	<u>10,547</u>	<u>10,547</u>
Subtotal	204,018	205,829	205,829
Resident	184,534	183,442	183,442
Nonresident	19,484	22,387	22,387
State Supported Summer Enrollment:			
Undergraduate	15,454	15,828	15,828
Postbaccalaureate	11	12	12
Graduate	<u>810</u>	<u>813</u>	<u>813</u>
Subtotal	16,275	16,653	16,653
Resident	16,275	16,653	16,653
Nonresident	<u>-</u>	<u>-</u>	<u>-</u>
Totals, General Campuses	<u>220,293</u>	<u>222,482</u>	<u>222,482</u>
Resident	200,809	200,095	200,095
Nonresident	19,484	22,387	22,387
Health Sciences:			
Undergraduate	504	473	473
Graduate:			
Academic	2,329	2,427	2,427
Professional	<u>11,746</u>	<u>11,836</u>	<u>11,836</u>
Totals, Health Sciences	<u>14,579</u>	<u>14,736</u>	<u>14,736</u>
Resident	13,883	14,017	14,017
Nonresident	<u>696</u>	<u>719</u>	<u>719</u>
TOTALS	<u>234,872</u>	<u>237,218</u>	<u>237,218</u>
Resident	214,692	214,112	214,112
Nonresident	20,180	23,106	23,106

* Dollars in thousands, except in Salary Range.

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Student Fees per Annual Full-Time Student (Whole Dollars)

	2010-11		2011-12 ¹		2012-13	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
Undergraduate Students						
Tuition	\$9,402	\$10,260	\$11,220	\$11,220	\$11,220	\$11,220
Student Services Fee	900	900	972	972	972	972
Nonresident Supplemental Tuition	0	22,021	0	22,878	0	22,878
Total Mandatory Charges	\$10,302	\$33,181	\$12,192	\$35,070	\$12,192	\$35,070
Campus-based Fees ²	977	977	989	989	1,038	1,038
Total Charges	\$11,279	\$34,158	\$13,181	\$36,059	\$13,230	\$36,108
Graduate Academic Students						
Tuition	\$9,402	\$9,810	\$11,220	\$11,220	\$11,220	\$11,220
Student Services Fee	900	900	972	972	972	972
Nonresident Supplemental Tuition	0	14,694	0	15,102	0	15,102
Total Mandatory Charges	\$10,302	\$25,404	\$12,192	\$27,294	\$12,192	\$27,294
Campus-based Fees ²	602	602	606	606	636	636
Total Charges	\$10,904	\$26,006	\$12,798	\$27,900	\$12,828	\$27,930
Graduate Professional Students ³						
Tuition	\$9,312-\$10,650	\$9,312-\$11,106	\$11,220	\$11,220	\$11,220	\$11,220
Student Services Fee	900	900	972	972	972	972
Nonresident Supplemental Tuition	0	12,245	0	12,245	0	12,245
Total Mandatory Charges	\$10,212-\$11,550	\$22,457-\$24,254	\$12,192	\$24,437	\$12,192	\$24,437
Campus-based Fees ²	602	602	606	606	636	636
Professional Degree Supplemental Tuition ⁴						
Students in Business	\$19,770-\$28,820	\$16,040-\$24,542	\$21,354-\$31,430	\$17,644-\$26,164	\$21,354-\$31,430	\$17,644-\$26,164
Students in Law	27,225-31,355	25,003-27,110	29,404-35,148	27,004-27,110	29,404-35,148	27,004-27,110
Students in Medicine	17,531	17,531	18,636	18,636	18,636	18,636
Students in Nursing	4,459-4,866	4,459-4,866	5,730	5,730	5,730	5,730
Students in Other Professional Programs	4,000-22,880	4,000-22,880	4,000-30,000	4,000-25,068	4,000-30,000	4,000-25,068
Total Charges ⁵						
Students in Business	\$34,172	\$43,720	\$38,392	\$47,109	\$38,392	\$47,109
Students in Law	39,714	48,933	44,518	52,527	44,518	52,527
Students in Medicine	28,865	41,110	32,126	44,371	32,126	44,371
Students in Nursing	15,531	27,776	18,752	30,997	18,752	30,997
Students in Other Professional Programs	20,857	33,381	23,614	35,480	23,614	35,480

¹ Mandatory charges, including Tuition (formerly the Educational Fee), the Student Services Fee (formerly the Registration Fee), and Professional Degree Supplemental Tuition, for 2011-12 were approved by the Regents in November 2010. The Regents subsequently approved an additional Tuition increase for 2011-12 in July 2011. Tuition includes a \$60 dollar surcharge to cover costs associated with the injunction and judgment of the *Kashmiri* lawsuit.

² Campus-based fees vary by campus; average values shown here are calculated on a weighted basis using enrollments. Campus-based fees for 2012-13 have not yet been determined; the campus-based fee figures shown here for 2012-13 assume a 5% increase from 2011-12. Campus-based fees do not include waivable health insurance fees. Waivable health insurance fees average \$1,175 for undergraduates and \$2,091 for graduates in 2011-12.

³ In 2010-11 the Tuition level paid by these students varies according to residency and when students began paying Professional Degree Supplemental Tuition.

⁴ Some degree programs charge Professional Degree Supplemental Tuition to reflect individual program needs. The range of Professional Degree Supplemental Tuition levels is shown for selected programs. Professional Degree Supplemental Tuition levels for other programs can be found at <http://budget.ucop.edu/fees/>.

⁵ Figures represent average total charges for programs charging Professional Degree Supplemental Tuition. Total charges do not include health insurance but do include mandatory charges (Tuition and the Student Services Fee), Professional Degree Supplemental Tuition, campus-based fees, and Nonresident Supplemental Tuition, disability and other fees where applicable.

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Income and Funds Available

	2010-11*	2011-12*	2012-13*
General Funds	\$2,910,697	\$2,273,596	\$2,570,814
Federal ARRA Reimbursements	106,553	-	-
Special and Nongovernmental Cost Funds	58,073	68,978	66,414
Totals, State Appropriations	\$3,075,323	\$2,342,574	\$2,637,228
UNIVERSITY SOURCES			
General Funds Income:			
Student Tuition and Fees:			
Nonresident Supplemental Tuition	\$324,585	\$354,584	\$384,584
Application for admission and other fees	28,420	27,700	30,200
Interest on General Fund Balances	3,814	5,000	5,000
Federal Contract and Grant Overhead:			
Contract and Grant Overhead	312,242	306,377	316,377
Overhead on State agency agreements	20,636	20,500	30,500
Prior year balances (instructional equipment/deferred maint.)	43,318	67,179	-
Other	25,402	11,000	11,000
Available in subsequent years	(67,179)	-	-
Totals, General Funds Income	\$691,238	\$792,340	\$777,661
Special Funds Income:			
United States appropriations	17,431	18,000	18,000
Gear Up-State Grant Program	3,500	5,000	5,000
Local government	90,939	96,639	96,639
Student Tuition and Fees:			
Tuition ¹	1,816,444	2,498,426	2,514,326
Student Services Fee ²	190,703	218,482	218,482
Selected professional charges	205,544	248,491	248,491
(Subtotals, mandatory systemwide and professional charges)	\$2,212,691	\$2,965,399	\$2,981,299
University extension	211,345	216,855	216,855
Summer session	11,571	12,429	12,429
Other fees	361,644	384,466	407,534
Sales and services - Educational activities	1,610,464	1,731,249	1,861,093
Sales and services - Teaching hospitals	5,595,563	5,987,252	6,406,360
Sales and services - Support activities	559,060	587,013	616,363
Endowments	196,412	206,969	217,359
Auxiliary enterprises	912,201	915,000	933,300
Contract and grant administration	98,318	105,000	105,000
Department of Energy Management Fee	29,748	33,500	33,500
University Opportunity Fund	149,136	145,000	145,000
Other	171,926	125,000	125,000
Totals, Special Funds Income	\$12,231,949	\$13,534,771	\$14,180,731
Totals, University Sources	\$12,923,187	\$14,327,111	\$14,958,392
TOTAL INCOME AND FUNDS AVAILABLE	\$15,998,510	\$16,669,685	\$17,595,620

¹ Formerly the Educational Fee.

² Formerly the Registration Fee.

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PROGRAM DESCRIPTIONS

05 - INSTRUCTION AND DEPARTMENTAL RESEARCH

General Campuses

Instruction includes most of the direct instructional resources associated with the schools and colleges located on the general campuses. Included are classroom and laboratory instruction, instructional technology, and joint scholarly research activities of students and faculty.

Health Sciences

The instructional program in the health sciences is conducted in 16 schools that provide education in various health fields to students preparing for careers in health care, teaching and research. The health science schools are located on six campuses and include five schools of medicine, two schools of dentistry, three schools of nursing, two schools of public health, two schools of pharmacy, one school of veterinary medicine, and one school of optometry. In addition, four programs in medical education are conducted at Berkeley, Fresno, Riverside, and the Charles R. Drew University of Medicine and Science in Los Angeles. The physical, biological and behavioral science programs of the general campuses complement the programs of the health science schools.

Summer Sessions

Non-UC matriculated students may enroll in summer sessions as self-supported students; funding for these students remains in the Summer Session budget. Typically, more than 10,000 non-UC students register for UC summer session courses each year.

Many of these students are regularly enrolled at the California State University, California Community Colleges, and other institutions. All general campuses currently offer state-supported summer instruction to UC-matriculated students, funding for which is included in the general campus instruction portion of the budget.

University Extension

University Extension is the largest institution of its kind, with an annual estimated enrollment of approximately 300,000 registrants participating in classes, short courses, seminars, field studies, and similar activities throughout California and in several foreign countries. It has open admissions, optional credit, and free student selection of curriculum. University Extension is self-supporting, and its offerings depend entirely on student fees. The majority of Extension's offerings are designed to serve the continuing educational needs of professionals.

10 - RESEARCH

The University is designated by the 1960 Master Plan as the primary state-supported academic agency for research. Its research activities contribute to the social, economic, and technological progress of the state and the nation. Knowledge discovered in the University's research programs has yielded a multitude of benefits, ranging from technological applications that increase industrial and agricultural productivity to insights into social and personal behaviors that help improve the quality of human life. The research process also is essential to training scholars in the methodology of inquiry, particularly in graduate and professional programs.

15 - PUBLIC SERVICE

Public service includes a broad range of activities organized by the University to serve state and local communities, students, teachers and staff in K-12 schools and community colleges, and the public in general. Consistent with its mission as a land grant institution, the University's public service programs help improve the quality of life in California by focusing on major challenges, whether in business, education, health care, community development, or civic engagement, that impact the economic and social well-being of its citizens. One component of public service is the University's Student Academic Preparation and Educational Partnerships, through which UC works collaboratively with schools and other partners to help educationally disadvantaged students meet rigorous standards of academic preparation needed to be successful in higher education and the world of work. Public service also includes Cooperative Extension, which is the University's largest public service program. Cooperative Extension provides applied research and educational programs in agriculture and natural resources, family and consumer sciences, community resource development, and 4-H youth development. Campuses also conduct other public service programs, generally supported by user fees and other non-state fund sources such as arts and lecture programs, and student-initiated community service projects. The University's public service programs also include a health sciences program jointly operated with the Charles R. Drew University of Medicine and Science.

20 - ACADEMIC SUPPORT

Libraries

The University libraries identify, acquire, organize and provide access to publications and scholarly materials in all formats. Access is provided for the University's students, faculty and staff, the faculty and students of other California colleges and universities, other California libraries, business and industry, and the general public. The University library system serves both instructional and research needs, and provides a critical archival function. The rapid expansion of knowledge requires extensive efforts to keep materials current and of a high quality. The accelerating impact of changes in information technology and the dynamic information needs of its faculty and students obligates the University to develop new digital library collections and services while also maintaining and enhancing its traditional collections.

Academic Support - Other

Academic Support - General Campus Programs: Other academic support on the general campuses is comprised of a number of partially self-supporting activities organized and operated in connection with educational departments, and

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conducted as a basic support for the departments' educational programs. Many diversified programs are included, such as a demonstration school that serves as an interdepartmental teaching laboratory for experimentation, research and teacher training.

Academic Support - Health Sciences Programs: The University operates dental clinics and neuropsychiatric institutes at Los Angeles and San Francisco, a veterinary medicine teaching facility at Davis and one in the San Joaquin Valley (near Tulare), an optometry clinic at Berkeley and two occupational health centers. These facilities are extensions of the health sciences schools and provide both clinical experience and community health services.

Other activities supporting both general campus and health sciences programs include vivaria, which provide centralized facilities for ordering, receiving and care of all animals necessary for teaching and research in the biological sciences; support for arts by direct sponsorship of performances and exhibits; support of specialized physical science and engineering projects; and support for professional journals.

25 - TEACHING HOSPITALS

The University owns and operates five academic medical centers - Davis, Irvine, Los Angeles, San Diego, and San Francisco. Their primary mission is to support the clinical teaching programs of the five schools of medicine and the educational programs in the University's other health science schools. The academic medical centers also provide a full range of health care services to their community and are sites for the development and testing of new diagnostic and therapeutic techniques. The medical centers provide health care to thousands of patients who generally have more serious illnesses and fewer financial resources than patients at non-teaching hospitals. Based on their tripartite mission of teaching, research and public service, the University of California's academic medical centers are a major resource for California and the nation.

State funds support the University medical centers, through Clinical Teaching Support, in recognition of the need to maintain a sufficiently large and diverse patient population for teaching purposes. The funds are used primarily to provide financial support for patients who are essential for the clinical teaching programs, but who are unable to pay the full cost of their care.

30 - STUDENT SERVICES

Student services programs support activities whose primary purpose is to contribute to the students' emotional and physical well-being, including their intellectual, cultural and social development outside the context of the formal instruction program, and fund administrative activities that provide assistance and support for the needs of students.

35 - INSTITUTIONAL SUPPORT

Institutional support includes a wide variety of activities including police, accounting, payroll, personnel, administrative computing, material management, environmental health and safety, and publications. Institutional support also includes the planning, policy making, and coordination activities that occur within the offices of the Chancellors, President, and the Regents.

40 - OPERATION AND MAINTENANCE OF PLANT

This function includes resources for the operation and maintenance of the University's State and tuition-supported physical plant, including site infrastructure and over 61.6 million gross square feet of buildings and related fixed equipment. Major component elements include purchased utilities, utilities operations, building and grounds maintenance, refuse, and janitorial services, with additional administrative and support services.

45 - STUDENT FINANCIAL AID

University of California students receive financial aid in a variety of forms from University resources, the federal government, the state, private donors, and outside agencies. University support, largely in the form of grants and scholarships, is derived primarily from the state General Fund and student tuition and fee income. The federal government provides loans, work-study, veterans' benefits, and grants. In addition, graduate students receive traineeships and fellowships through various federal programs. The California Student Aid Commission provides grants directly to students and private donors and outside agencies also provide scholarships, grants, and loans.

50 - AUXILIARY ENTERPRISES

Auxiliary enterprises are those non-instructional services provided to individuals, primarily students, in return for specific user charges. These services include student housing, food services, bookstores, parking, and at several campuses a portion of intercollegiate athletics. Auxiliary enterprises are self-supporting and are not subsidized by the state.

55 - PROVISIONS FOR ALLOCATION

These budgetary provisions serve as a temporary repository for lump sum appropriations which are destined for allocation (1) from systemwide provisions to campus provisions and (2) from campus provisions to operating programs and subprograms where expenditures will occur. Provisions for allocation may include funds for academic position upgrades, staff reclassifications, price increases, employee benefits, unallocated state funding increases, and University endowment income. These provisions also include funds for lease-purchase bond payments. They are partially offset by the University budgetary savings target.

60 - PROGRAM MAINTENANCE

6440 University of California - Continued

This program category is a temporary repository for any proposed salary, benefits, and other cost increases, only in the proposed budget year. These costs, once determined, will be allocated in the following year into the appropriate functional program areas.

70 - EXTRAMURAL PROGRAMS

Extramural programs are supported by fund sources defined as non-budgeted due to the limited life of the funding source. These extramural fund sources are derived from State Agency Contracts, Federal Contracts and Grants, Private Contracts and Grants, and other University one-time funding. Most program areas described above receive some support from extramural funds, although the largest program area supported by these funds is Research.

80 - DEPARTMENT OF ENERGY LABORATORY (LBNL)

The University manages the Lawrence Berkeley National Laboratory (LBNL). LBNL is a separate entity, but research at LBNL has direct and indirect benefits for University faculty and students. LBNL is supported entirely by federal funds through extramural contracts and grants and conducts research important to the State and the nation, including research on bioterrorism, nuclear nonproliferation, and energy efficiency and new energy resources.

DETAILED EXPENDITURES BY PROGRAM

	2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS			
05.10 GENERAL CAMPUSES INSTRUCTION			
State Operations:			
0001 General Fund	\$1,087,368	\$957,310	\$957,310
0992 Higher Education Fees and Income (UC General Funds)	261,790	359,179	383,179
0992 Higher Education Fees and Income (Student Fees)	767,987	1,019,074	1,019,074
0995 Reimbursements (ARRA General Fund)	92,413	-	-
9999 Restricted Fund Sources	<u>209,637</u>	<u>245,023</u>	<u>273,091</u>
Totals, State Operations	\$2,419,195	\$2,580,586	\$2,632,654
ELEMENT REQUIREMENTS			
05.11 Faculty Salaries and Related Benefits	1,186,403	1,407,810	1,436,247
05.12 Teaching Assistant Salaries	83,269	73,342	74,824
05.13 Instructional Support and Related Benefits	879,608	814,632	835,108
05.14 Equipment Replacement	31,189	33,222	33,893
05.15 Instructional Technology and Computing	46,600	49,638	50,640
05.16 Summer	192,126	201,942	201,942
PROGRAM REQUIREMENTS			
05.20 HEALTH SCIENCES INSTRUCTION			
State Operations:			
0001 General Fund	\$379,935	\$314,131	\$314,131
0992 Higher Education Fees and Income (UC General Funds)	91,471	78,325	84,325
0992 Higher Education Fees and Income (Student Fees)	66,429	212,253	212,253
9999 Restricted Fund Sources	<u>1,126,724</u>	<u>1,147,095</u>	<u>1,179,095</u>
Totals, State Operations	\$1,664,559	\$1,751,804	\$1,789,804
ELEMENT REQUIREMENTS			
05.21 Medicine	1,467,846	1,545,209	1,578,814
05.22 Dentistry	59,414	62,545	63,905
05.23 Nursing	27,105	28,533	29,154
05.24 Optometry	7,133	7,509	7,672
05.25 Pharmacy	29,634	31,196	31,874
05.26 Public Health	32,752	34,478	35,228
05.27 Veterinary Medicine	35,949	37,844	38,667
05.28 Drew	4,726	4,490	4,490
PROGRAM REQUIREMENTS			
05.30 SUMMER SESSIONS INSTRUCTION			

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	<u>2010-11*</u>	<u>2011-12*</u>	<u>2012-13*</u>
State Operations:			
9999 Restricted Fund Sources	\$11,571	\$12,429	\$12,429
Totals, State Operations	\$11,571	\$12,429	\$12,429
PROGRAM REQUIREMENTS			
05.40 UNIVERSITY EXTENSION INSTRUCTION			
State Operations:			
9999 Restricted Fund Sources	\$211,345	\$216,855	\$216,855
Totals, State Operations	\$211,345	\$216,855	\$216,855
PROGRAM REQUIREMENTS			
10 RESEARCH			
State Operations:			
0001 General Fund	\$272,764	\$271,993	\$271,993
0992 Higher Education Fees and Income (UC General Funds)	65,670	60,459	65,459
0995 Reimbursements (ARRA General Fund)	3,509	-	-
9999 Restricted Fund Sources	240,859	252,660	268,818
Totals, State Operations	\$582,802	\$585,112	\$606,270
ELEMENT REQUIREMENTS			
10.10 General Campuses	323,580	323,844	335,457
10.20 Health Sciences	142,955	143,072	148,203
10.30 Agriculture	90,852	90,926	94,187
10.40 Tobacco-Related Diseases	12,534	12,681	13,685
10.50 Breast Cancer Research	8,737	10,443	10,443
10.60 Faculty Grants and Travel	4,144	4,146	4,295
PROGRAM REQUIREMENTS			
15 PUBLIC SERVICE			
State Operations:			
0001 General Fund	\$66,185	\$75,538	\$70,538
0992 Higher Education Fees and Income (UC General Funds)	15,935	19,660	19,660
0992 Higher Education Fees and Income (Student Fees)	-	2,592	2,592
0995 Reimbursements (ARRA General Fund)	10,631	-	-
9999 Restricted Fund Sources	127,220	130,847	145,319
Totals, State Operations	\$219,971	\$228,637	\$238,109
ELEMENT REQUIREMENTS			
15.10 Student Academic Preparation and Educational Partnerships (Subtotal)	36,721	30,237	30,237
15.11 Preuss Charter School	1,000	-	-
15.12 UC College Preparatory Initiative (Online Courses)	1,520	2,411	2,411
15.13 ASSIST	446	389	389
15.14 Community College Articulation	600	600	600
15.15 Community College Transfer Programs	3,831	2,412	2,412
15.16 EAOP	7,794	7,713	7,713
15.17 Graduate and Professional School Programs	3,689	2,431	2,431
15.18 Math, Engineering, Science Achievement (MESA)	5,179	4,106	4,106
15.19 Puente	1,841	1,246	1,246
15.20 Student Initiated Programs	1,444	418	418
15.21 GEAR UP	3,500	5,000	5,000
15.22 UC Links	431	622	622
15.23 K-20 Intersegmental Alliances	3,277	1,279	1,279
15.24 Evaluation	1,546	888	888

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2010-11*	2011-12*	2012-13*
15.25 Other Student Academic Preparation and Educational Partnership Programs	623	722	722
15.27 Other Public Service Programs (Subtotal):	183,250	198,400	207,872
15.28 California Subject Matter Projects	5,301	5,000	-
15.31 California State Summer School for Math and Science	2,372	1,723	1,723
15.32 Lawrence Hall of Science	3,817	798	798
15.33 EQUALS	166	45	45
15.34 Teratogen Registry	400	427	427
15.35 Cooperative Extension	60,728	58,712	58,906
15.36 C.R. Drew University of Medicine and Science	4,012	3,811	3,811
15.37 Umbilical Cord Blood Collection Program	-	3,722	3,000
15.38 Other	106,454	124,162	139,162
PROGRAM REQUIREMENTS			
20.10 LIBRARIES ACADEMIC SUPPORT			
State Operations:			
0001 General Fund	\$115,979	\$54,925	\$54,925
0992 Higher Education Fees and Income (UC General Funds)	27,923	30,120	30,120
0992 Higher Education Fees and Income (Student Fees)	24,133	72,823	72,823
9999 Restricted Fund Sources	66,500	77,822	89,822
Totals, State Operations	\$234,535	\$235,690	\$247,690
ELEMENT REQUIREMENTS			
20.11 Campus Libraries	218,006	219,080	230,234
20.12 California Digital Library	16,529	16,610	17,456
PROGRAM REQUIREMENTS			
20.20 OTHER ACADEMIC SUPPORT			
State Operations:			
0001 General Fund	\$184,484	\$97,953	\$97,953
0992 Higher Education Fees and Income (UC General Funds)	44,415	41,216	46,216
0992 Higher Education Fees and Income (Student Fees)	54,173	125,190	135,590
9999 Restricted Fund Sources	656,341	641,126	666,126
Totals, State Operations	\$939,413	\$905,485	\$945,885
ELEMENT REQUIREMENTS			
20.21 Museums and Galleries	22,107	21,309	22,259
20.24 Demonstration Schools	4,986	4,806	5,020
20.25 Vivaria and Other (includes Employee Benefits)	348,378	335,796	350,779
20.27 Dental Clinics	9,358	9,020	9,422
20.28 Optometry Clinics	8,067	7,776	8,122
20.29 Neuropsychiatric Institutes	87,862	84,689	88,467
20.30 Veterinary Medical Teaching Facility	35,696	34,407	35,942
20.31 Vivaria and Other (Health Sciences)	419,643	404,486	422,535
20.32 Occupational Health Centers	3,316	3,196	3,339
PROGRAM REQUIREMENTS			
25 TEACHING HOSPITALS			
State Operations:			
0001 General Fund	\$32,879	\$38,120	\$38,120
9999 Restricted Fund Sources	5,595,563	5,987,252	6,406,360
Totals, State Operations	\$5,628,442	\$6,025,372	\$6,444,480
PROGRAM REQUIREMENTS			
30 STUDENT SERVICES			

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2010-11*	2011-12*	2012-13*
State Operations:			
0992 Higher Education Fees and Income (Student Fees)	\$274,968	\$291,061	\$291,061
9999 Restricted Fund Sources	<u>301,343</u>	<u>308,302</u>	<u>323,302</u>
Totals, State Operations	\$576,311	\$599,363	\$614,363
ELEMENT REQUIREMENTS			
30.10 Social and Cultural Activities	220,120	228,925	234,654
30.20 Supplementary Educational Services	17,143	17,828	18,275
30.30 Counseling and Career Guidance	66,623	69,288	71,022
30.40 Financial Aid Administration	29,569	30,751	31,521
30.50 Student Admissions and Records	68,130	70,855	72,628
30.60 Student Health Services	174,726	181,716	186,263
PROGRAM REQUIREMENTS			
35 INSTITUTIONAL SUPPORT			
State Operations:			
0001 General Fund	\$294,871	\$247,496	\$247,496
0992 Higher Education Fees and Income (UC General Funds)	70,992	61,363	73,863
0992 Higher Education Fees and Income (Student Fees)	150,154	148,853	148,853
9999 Restricted Fund Sources	<u>328,445</u>	<u>336,418</u>	<u>356,418</u>
Totals, State Operations	\$844,462	\$794,130	\$826,630
ELEMENT REQUIREMENTS			
35.10 Executive Management	195,921	184,244	191,784
35.20 Fiscal Operations	142,579	134,081	139,568
35.30 General Administrative Services	230,346	216,616	225,481
35.40 Logistical Services	108,374	101,915	106,086
35.50 Community Relations	167,242	157,274	163,711
PROGRAM REQUIREMENTS			
40 OPERATION AND MAINTENANCE OF PLANT			
State Operations:			
0001 General Fund	\$255,793	\$172,585	\$369,409
0992 Higher Education Fees and Income (UC General Funds)	61,584	66,699	66,699
0992 Higher Education Fees and Income (Student Fees)	130,622	177,283	177,283
9999 Restricted Fund Sources	<u>70,609</u>	<u>70,925</u>	<u>87,925</u>
Totals, State Operations	\$518,608	\$487,492	\$701,316
ELEMENT REQUIREMENTS			
40.10 Plant Administration	23,856	22,425	23,207
40.20 Building Maintenance	160,769	151,122	156,392
40.30 Grounds Maintenance	20,744	19,500	20,180
40.40 Janitorial	73,124	68,736	71,133
40.50 Utilities Operation	15,558	14,625	15,135
40.60 Utilities Purchase	206,406	194,022	200,788
40.70 Refuse	11,409	10,725	11,099
40.80 Fire Departments	6,742	6,337	6,558
40.90 General Obligation Bond Debt Service Payments	-	-	196,824
PROGRAM REQUIREMENTS			
45 STUDENT FINANCIAL AID			
State Operations:			
0001 General Fund	\$52,199	\$52,199	\$52,199
0992 Higher Education Fees and Income (UC General Funds)	8,140	8,140	8,140
0992 Higher Education Fees and Income (Student Fees)	744,225	916,270	921,770

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	<u>2010-11*</u>	<u>2011-12*</u>	<u>2012-13*</u>
9999 Restricted Fund Sources	217,882	279,792	290,182
Totals, State Operations	\$1,022,446	\$1,256,401	\$1,272,291
PROGRAM REQUIREMENTS			
50 AUXILIARY ENTERPRISES			
State Operations:			
9999 Restricted Fund Sources	\$912,201	\$915,000	\$933,300
Totals, State Operations	\$912,201	\$915,000	\$933,300
PROGRAM REQUIREMENTS			
55 PROVISIONS FOR ALLOCATION			
State Operations:			
0001 General Fund	\$168,240	-\$8,654	\$91,572
0992 Higher Education Fees and Income (UC General Funds)	43,318	67,179	-
9999 Restricted Fund Sources	1,091	16,804	16,804
Totals, State Operations	\$212,649	\$75,329	\$108,376
PROGRAM REQUIREMENTS			
60 PROGRAM MAINTENANCE - FIXED COSTS, ECONOMIC FACTORS AND SALARY INCREASES			
State Operations:			
0001 General Fund	\$-	\$-	\$5,168
Totals, State Operations	\$-	\$-	\$5,168
PROGRAM REQUIREMENTS			
70.10 INSTRUCTION EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	\$333,352	\$317,580	\$325,569
Totals, State Operations	\$333,352	\$317,580	\$325,569
PROGRAM REQUIREMENTS			
70.20 RESEARCH EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	\$3,471,515	\$3,296,591	\$3,383,265
Totals, State Operations	\$3,471,515	\$3,296,591	\$3,383,265
PROGRAM REQUIREMENTS			
70.30 PUBLIC SERVICE EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	\$283,749	\$263,887	\$269,165
Totals, State Operations	\$283,749	\$263,887	\$269,165
PROGRAM REQUIREMENTS			
70.40 ACADEMIC SUPPORT EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	\$235,273	\$218,804	\$223,419
Totals, State Operations	\$235,273	\$218,804	\$223,419
PROGRAM REQUIREMENTS			
70.50 TEACHING HOSPITALS EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	\$11,043	\$10,822	\$11,038
Totals, State Operations	\$11,043	\$10,822	\$11,038
PROGRAM REQUIREMENTS			
70.60 STUDENT SERVICES EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	\$51,300	\$48,735	\$49,710

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	<u>2010-11*</u>	<u>2011-12*</u>	<u>2012-13*</u>
Totals, State Operations	\$51,300	\$48,735	\$49,710
PROGRAM REQUIREMENTS			
70.70 INSTITUTIONAL SUPPORT EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$58,312</u>	<u>\$55,396</u>	<u>\$56,504</u>
Totals, State Operations	\$58,312	\$55,396	\$56,504
PROGRAM REQUIREMENTS			
70.80 OPERATION AND MAINTENANCE OF PLANT EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$3,427</u>	<u>\$3,427</u>	<u>\$3,496</u>
Totals, State Operations	\$3,427	\$3,427	\$3,496
PROGRAM REQUIREMENTS			
70.90 STUDENT FINANCIAL AID EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$614,495</u>	<u>\$617,567</u>	<u>\$648,445</u>
Totals, State Operations	\$614,495	\$617,567	\$648,445
PROGRAM REQUIREMENTS			
70.95 AUXILIARY ENTERPRISES EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$16,240</u>	<u>\$16,240</u>	<u>\$16,565</u>
Totals, State Operations	\$16,240	\$16,240	\$16,565
PROGRAM REQUIREMENTS			
80 DEPARTMENT OF ENERGY LABORATORY (LBNL)			
State Operations:			
9999 Restricted Fund Sources	<u>\$937,870</u>	<u>\$870,000</u>	<u>\$800,000</u>
Totals, State Operations	\$937,870	\$870,000	\$800,000
TOTALS, EXPENDITURES			
0001 General Fund	2,910,697	2,273,596	2,570,814
0992 Higher Education Fees and Income (UC General Funds)	691,238	792,340	777,661
0007 Breast Cancer Research Account	8,303	9,959	9,959
0046 Public Transportation Account, State Transportation Fund	980	980	980
0234 Research Account, Cigarette and Tobacco Products Surtax Fund	12,534	12,681	13,685
0308 Earthquake Risk Reduction Fund of 1996	1,000	1,000	1,000
0321 Oil Spill Response Trust Fund	2,000	2,000	2,000
0814 California State Lottery Education Fund	27,000	32,880	32,880
0890 Federal Trust Fund (GEAR-UP)	3,500	5,000	5,000
0895 Federal Funds - Not In State Treasury	17,431	18,000	18,000
0945 California Breast Cancer Research Fund	434	484	484
0992 Higher Education Fees and Income (Student Fees)	2,212,691	2,965,399	2,981,299
0993 University Funds--Unclassified	9,998,327	10,546,372	11,176,432
0995 Reimbursements (ARRA General Fund)	106,553	-	-
0995 Reimbursements	3,741	3,001	1
1017 Umbilical Cord Blood Collection Program Fund	-	3,722	3,000

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2010-11*	2011-12*	2012-13*
3054 Health Care Benefit Fund	1,831	1,846	2,000
7895 Extramural Federal Funds - Not In State Treasury	2,936,061	2,712,000	2,743,275
7895 Extramural Federal Funds (Department of Energy)	937,870	870,000	800,000
8054 California Cancer Research Fund	250	425	425
9993 Extramural Nonfederal Unclassified Funds (State Agency Agreements)	303,923	301,022	316,073
9993 Extramural Nonfederal Unclassified Funds (Private Gifts, Contracts, and Grants)	1,487,957	1,467,724	1,541,110
9993 Extramural Nonfederal Unclassified Funds (Other University Funds)	350,765	368,303	386,718
Totals, Expenditures	\$22,015,086	\$22,388,734	\$23,382,796

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	85,561.7	86,029.9	86,029.9	\$6,544,770	\$6,772,867	\$6,772,867
Estimated Salary Savings	-	-3,000.0	-3,000.0	-	-236,181	-236,181
Net Totals, Salaries and Wages	85,561.7	83,029.9	83,029.9	\$6,544,770	\$6,536,686	\$6,536,686
Staff Benefits	-	-	-	1,878,349	1,961,006	2,059,056
Totals, Personal Services	85,561.7	83,029.9	83,029.9	\$8,423,119	\$8,497,692	\$8,595,742
OPERATING EXPENSES AND EQUIPMENT				\$7,575,391	\$8,171,993	\$8,999,878
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$15,998,510	\$16,669,685	\$17,595,620

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,636,398	\$2,097,082	\$2,515,814
Adjustment per Section 3.94	-	-100,000	-
002 Budget Act appropriation (cash available in subsequent years)	(55,000)	(55,000)	(55,000)
003 Budget Act appropriation	201,501	202,227	-
Adjustment per Section 4.30	-1,018	-463	-
004 Budget Act appropriation	15,000	15,000	-
005 Budget Act appropriation	4,750	4,750	-
Payment of prior year claims per Provision 1	55,000	55,000	55,000
Chapter 221, Statutes of 2010 (AB 185)	0	-	-
Totals Available	\$2,911,631	\$2,273,596	\$2,570,814
Unexpended balance, estimated savings	-934	-	-
TOTALS, EXPENDITURES	\$2,910,697	\$2,273,596	\$2,570,814
0007 Breast Cancer Research Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,219	\$9,959	\$9,959
Prior year balances available:			
Item 6440-001-0007, Budget Act of 2010	-	2,916	2,916
Totals Available	\$11,219	\$12,875	\$12,875

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Unexpended balance, estimated savings	-	-	-2,916
Balance available in subsequent years	<u>-2,916</u>	<u>-2,916</u>	<u>-</u>
TOTALS, EXPENDITURES	\$8,303	\$9,959	\$9,959
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
011 Budget Act appropriation (transfer to Earthquake Risk Reduction Fund of 1996)	<u>(\$1,000)</u>	<u>(\$1,000)</u>	<u>(\$1,000)</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$980</u>	<u>\$980</u>	<u>\$980</u>
TOTALS, EXPENDITURES	\$980	\$980	\$980
0234 Research Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$12,534</u>	<u>\$12,681</u>	<u>\$13,685</u>
TOTALS, EXPENDITURES	\$12,534	\$12,681	\$13,685
0308 Earthquake Risk Reduction Fund of 1996			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$1,428</u>	<u>\$1,384</u>	<u>\$1,000</u>
Totals Available	\$1,428	\$1,384	\$1,000
Unexpended balance, estimated savings	<u>-44</u>	<u>-384</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,384	\$1,000	\$1,000
Less funding provided by the General Fund	<u>-384</u>	<u>-</u>	<u>-</u>
NET TOTALS, EXPENDITURES	\$1,000	\$1,000	\$1,000
0321 Oil Spill Response Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
TOTALS, EXPENDITURES	\$2,000	\$2,000	\$2,000
0814 California State Lottery Education Fund			
APPROPRIATIONS			
Government Code Section 8880.5	<u>\$27,000</u>	<u>\$32,880</u>	<u>\$32,880</u>
TOTALS, EXPENDITURES	\$27,000	\$32,880	\$32,880
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,500	\$3,500	\$5,000
Budget Adjustment	<u>-</u>	<u>1,500</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3,500	\$5,000	\$5,000
0895 Federal Funds - Not In State Treasury			
APPROPRIATIONS			
United States appropriations	<u>\$17,431</u>	<u>\$18,000</u>	<u>\$18,000</u>
TOTALS, EXPENDITURES	\$17,431	\$18,000	\$18,000
0945 California Breast Cancer Research Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$600	\$484	\$484
Prior year balances available:			
Item 6440-001-0945, Budget Act of 2010	<u>-</u>	<u>166</u>	<u>166</u>
Totals Available	\$600	\$650	\$650
Unexpended balance, estimated savings	-	-	-166
Balance available in subsequent years	<u>-166</u>	<u>-166</u>	<u>-</u>
TOTALS, EXPENDITURES	\$434	\$484	\$484
0992 Higher Education Fees and Income			

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
APPROPRIATIONS			
Student fee revenue	\$2,212,691	\$2,965,399	\$2,981,299
General Fund income	<u>691,238</u>	<u>792,340</u>	<u>777,661</u>
TOTALS, EXPENDITURES	\$2,903,929	\$3,757,739	\$3,758,960
0993 University Funds--Unclassified			
APPROPRIATIONS			
Current revenues--budgeted funds	<u>\$9,998,327</u>	<u>\$10,546,372</u>	<u>\$11,176,432</u>
TOTALS, EXPENDITURES	\$9,998,327	\$10,546,372	\$11,176,432
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$110,294	\$3,001	\$1
1017 Umbilical Cord Blood Collection Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$-</u>	<u>\$4,618</u>	<u>\$3,000</u>
Totals Available	\$-	\$4,618	\$3,000
Unexpended balance, estimated savings	<u>-</u>	<u>-896</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$3,722	\$3,000
3054 Health Care Benefits Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$1,900</u>	<u>\$1,900</u>	<u>\$2,000</u>
Totals Available	\$1,900	\$1,900	\$2,000
Unexpended balance, estimated savings	<u>-69</u>	<u>-54</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,831	\$1,846	\$2,000
7895 Extramural Federal Funds - Not in State Treasury			
APPROPRIATIONS			
Federal contracts and grants	\$2,936,061	\$2,712,000	\$2,743,275
Student Financial Aid	(519,147)	(570,802)	(627,597)
Major Department of Energy-supported laboratories	<u>937,870</u>	<u>870,000</u>	<u>800,000</u>
TOTALS, EXPENDITURES	\$3,873,931	\$3,582,000	\$3,543,275
8054 California Cancer Research Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$250</u>	<u>\$425</u>	<u>\$425</u>
TOTALS, EXPENDITURES	\$250	\$425	\$425
8056 California Ovarian Cancer Research Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$250</u>	<u>\$-</u>	<u>\$-</u>
Totals Available	\$250	\$-	\$-
Unexpended balance, estimated savings	<u>-250</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
9993 Extramural Nonfederal Unclassified Funds			
APPROPRIATIONS			
State of California (State agency agreements)	\$303,923	\$301,022	\$316,073
Private gifts, contracts and grants	1,487,957	1,467,724	1,541,110
Other university funds	<u>350,765</u>	<u>368,303</u>	<u>386,718</u>
TOTALS, EXPENDITURES	\$2,142,645	\$2,137,049	\$2,243,901
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$22,015,086	\$22,388,734	\$23,382,796

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
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0308 Earthquake Risk Reduction Fund of 1996 ^s			
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* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2010-11*	2011-12*	2012-13*
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO0042 From State Highway Account, State Transportation Fund per Item 6440-011-0042, Budget Acts	\$1,000	\$1,000	\$1,000
Total Revenues, Transfers, and Other Adjustments	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
Total Resources	\$1,000	\$1,000	\$1,000
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
6440 University of California (State Operations)	1,384	1,000	1,000
Expenditure Adjustments:			
6440 University of California			
Less funding provided by the General Fund (State Operations)	<u>-384</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
FUND BALANCE	-	-	-
0945 California Breast Cancer Research Fund ^N			
BEGINNING BALANCE	\$116	\$152	\$145
Prior year adjustments	<u>-43</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$73	\$152	\$145
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
216000 Fees and Licenses	<u>520</u>	<u>484</u>	<u>484</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$520</u>	<u>\$484</u>	<u>\$484</u>
Total Resources	\$593	\$636	\$629
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
1730 Franchise Tax Board (State Operations)	7	7	7
6440 University of California (State Operations)	<u>434</u>	<u>484</u>	<u>484</u>
Total Expenditures and Expenditure Adjustments	<u>\$441</u>	<u>\$491</u>	<u>\$491</u>
FUND BALANCE	\$152	\$145	\$138
1017 Umbilical Cord Blood Collection Program Fund ^S			
BEGINNING BALANCE	-	\$1,222	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	<u>\$1,222</u>	<u>2,500</u>	<u>\$3,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,222</u>	<u>\$2,500</u>	<u>\$3,000</u>
Total Resources	\$1,222	\$3,722	\$3,000
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
4265 Department of Public Health (State Operations)	471	-	-
6440 University of California (State Operations)	-	3,722	3,000
Expenditure Adjustments:			
4265 Department of Public Health			
Less Funding provided by the Federal Trust Fund (State Operations)	<u>-471</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$3,722</u>	<u>\$3,000</u>
FUND BALANCE	\$1,222	-	-
Reserve for economic uncertainties	1,222	-	-
3054 Health Care Benefits Fund ^S			
BEGINNING BALANCE	-	-	-

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2010-11*	2011-12*	2012-13*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	\$1,831	\$1,846	\$2,000
Total Revenues, Transfers, and Other Adjustments	\$1,831	\$1,846	\$2,000
Total Resources	\$1,831	\$1,846	\$2,000
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
6440 University of California (State Operations)	1,831	1,846	2,000
Total Expenditures and Expenditure Adjustments	\$1,831	\$1,846	\$2,000
FUND BALANCE	-	-	-

INFRASTRUCTURE OVERVIEW

The University of California (UC) system comprises ten campuses (Berkeley, Davis, Irvine, Los Angeles, Merced, Riverside, San Diego, San Francisco, Santa Barbara, and Santa Cruz) which operate 150 institutes, centers, bureaus, and research laboratories throughout the state. Nine of the campuses offer undergraduate, graduate, and professional education--with one other, San Francisco, devoted exclusively to the graduate health sciences. In addition, the University operates teaching hospitals and clinics in six counties. The UC system has more than 5,000 buildings with 130 million gross square feet on approximately 30,000 acres.

SUMMARY OF PROJECTS

	State Building Program Expenditures	2010-11*	2011-12*	2012-13*
99	CAPITAL OUTLAY			
	Major Projects			
99.01	BERKELEY CAMPUS	\$59,382	\$77,464	\$44,600
99.01.000	Nonstate Funded Projects	59,382 ^{PWCEn}	-	-
99.01.245	Campbell Hall Seismic Replacement Building	-	77,464 ^{Cn}	-
99.01.260	Helios Energy Research Facility	-	-	44,600 ^{Cn}
99.02	SAN FRANCISCO CAMPUS	\$129,831	\$3,775	\$-
99.02.000	Nonstate Funded Projects	119,967 ^{PWCEn}	-	-
99.02.155	Telemedicine and PRIME (Programs in Medical Education) - Urban Underserved Education Facility	9,864 ^{CEb}	3,775 ^{CEb}	-
99.03	DAVIS CAMPUS	\$212,991	\$53,831	\$-
99.03.000	Nonstate Funded Projects	177,284 ^{PWCEn}	-	-
99.03.350	Veterinary Medicine 3B	35,707 ^{Cn}	13,114 ^{Cn}	-
99.03.355	King Hall Renovation and Expansion	-	29,891 ^{Cbn}	-
99.03.360	Electrical Improvements, Phase 4	-	561 ^{Cb}	-
99.03.365	Telemedicine Resource Center and Rural PRIME (Programs in Medical Education) Facility	-	10,265 ^{Eb}	-
99.04	LOS ANGELES CAMPUS	-\$53,019	\$243,190	\$-
99.04.000	Nonstate Funded Projects	-72,038 ^{PWCEn}	-	-
99.04.285	Hershey Hall Seismic Renovation	12,072 ^{WCn}	11,028 ^{Cn}	-
99.04.290	Telemedicine and PRIME (Programs in Medical Education) Facilities, Phase 2	3,590 ^{Vb}	21,198 ^{Vb}	-
99.04.325	CHS South Tower Seismic Renovation	3,357 ^{Wn}	210,964 ^{Cn}	-
99.05	RIVERSIDE CAMPUS	\$56,050	\$18,695	\$15,984
99.05.000	Nonstate Funded Projects	56,050 ^{PWCEn}	-	-
99.05.190	Materials Science and Engineering Building	-	4,620 ^{Eb}	-
99.05.200	Environmental Health & Safety Expansion	-	635 ^{Wn}	15,984 ^{Cn}
99.05.220	Boyce Hall and Webber Hall Renovations	-	2,571 ^{Cb}	-
99.05.225	East Campus Infrastructure Improvements, Phase 2	-	10,869 ^{Vbn}	-
99.06	SAN DIEGO CAMPUS	\$336,403	\$12,003	\$5,735

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

State Building Program Expenditures		2010-11*	2011-12*	2012-13*
99.06.000	Nonstate Funded Projects	310,715 ^{PWCEn}	-	-
99.06.365	SIO Research Support Facilities	-	613 ^{PWb}	5,735 ^{Cn}
99.06.375	Structural and Materials Engineering Building	-	7,466 ^{Ebn}	-
99.06.390	Management School Facility Phase 2	24,902 ^{Vn}	1,924 ^{Vn}	-
99.06.395	Telemedicine and PRIME (Programs in Medical Education)-Health Equity Education Facility	786 ^{Vbn}	2,000 ^{Vb}	-
99.07	SANTA CRUZ CAMPUS	\$10,767	\$5,160	\$-
99.07.000	Nonstate Funded Projects	7,167 ^{PWCEn}	-	-
99.07.165	McHenry Project	3,600 ^{Cb}	-	-
99.07.190	Biomedical Sciences Facility	-	5,160 ^{Ebn}	-
99.08	SANTA BARBARA CAMPUS	\$78,380	\$66,498	\$-
99.08.000	Nonstate Funded Projects	60,560 ^{PWCEn}	-	-
99.08.135	Arts Building Seismic Correction and Renewal	16,620 ^{Cn}	-	-
99.08.145	Davidson Library Addition and Renewal	1,200 ^{Wn}	66,498 ^{Cn}	-
99.09	IRVINE CAMPUS	\$76,390	\$10,959	\$41,194
99.09.000	Nonstate Funded Projects	73,722 ^{PWCEn}	-	-
99.09.355	Social and Behavioral Sciences Building	-	2,855 ^{Eb}	-
99.09.360	Primary Electrical Improvements, Step 3	-	2,365 ^{Cb}	-
99.09.365	Humanities Building	-	2,682 ^{Ebn}	-
99.09.370	Arts Building	2,668 ^{Eb}	-	-
99.09.390	Business Unit 2	-	3,057 ^{Vn}	41,194 ^{Vn}
99.10	AGRICULTURE AND NATURAL RESOURCES	\$-	\$1,909	\$-
99.10.065	Hopland Research and Extension Center, Field Laboratory and Multipurpose Facility	-	1,909 ^{Vbn}	-
99.11	MERCED CAMPUS	\$5,437	\$87,791	\$-
99.11.000	Nonstate Funded Projects	1,780 ^{PWCEn}	-	-
99.11.045	Social Sciences and Management Building	-	3,908 ^{Eb}	-
99.11.050	Science and Engineering Building 2	3,457 ^{Wn}	77,583 ^{Cn}	-
99.11.055	Site Development and Infrastructure 4	-	4,500 ^{PWCEb}	-
99.11.065	Site Development and Infrastructure 6	200 ^{Pb}	1,800 ^{Wcb}	-
99.12	CHARLES DREW UNIVERSITY	\$10,000	\$-	\$-
99.12.005	Drew University of Medicine and Science: Life Sciences Research and Nursing Education Building	10,000 ^{PWcb}	-	-
Totals, Major Projects		\$922,612	\$581,275	\$107,513
TOTALS, EXPENDITURES, ALL PROJECTS		\$922,612	\$581,275	\$107,513

FUNDING		2010-11*	2011-12*	2012-13*
0658	1996 Higher Education Capital Outlay Bond Fund	\$-	\$5,113	\$-
0660	Public Buildings Construction Fund	97,315	349,998	45,984
0668	Public Buildings Construction Fund Subaccount	-	2,682	42,648
0705	Higher Education Capital Outlay Bond Fund of 1992	-	4,500	-
0791	June 1990 Higher Education Capital Outlay Bond Fund	-	660	-
0994	Other Unclassified Funds	794,589	133,727	18,881
6048	2006 University Capital Outlay Bond Fund	30,708	84,595	-
TOTALS, EXPENDITURES, ALL FUNDS		\$922,612	\$581,275	\$107,513

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
0658 1996 Higher Education Capital Outlay Bond Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$5,113	-	-
Prior year balances available:			
Item 6440-301-0658, Budget Act of 2010, as reappropriated by Item 6440-492, Budget Act of 2011	-	\$5,113	-
Totals Available	\$5,113	\$5,113	\$-
Balance available in subsequent years	-5,113	-	-
TOTALS, EXPENDITURES	\$-	\$5,113	\$-
0660 Public Buildings Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$342,896	-	-
Prior year balances available:			
Item 6440-301-0660, Budget Act of 2007, as reappropriated by Item 6440-491, Budget Acts of 2009 and 2012	30,000	\$30,000	\$30,000
Item 6440-301-0660, Budget Act of 2008, as reappropriated by Item 6440-491, Budget Act of 2012	151,937	62,636	15,984
Item 6440-301-0660, Budget Act of 2010, as reappropriated by Item 6440-494, Budget Act of 2011	-	334,882	-
Totals Available	\$524,833	\$427,518	\$45,984
Unexpended balance, estimated savings	-	-31,536	-
Balance available in subsequent years	-427,518	-45,984	-
TOTALS, EXPENDITURES	\$97,315	\$349,998	\$45,984
0668 Public Buildings Construction Fund Subaccount			
APPROPRIATIONS			
301 Budget Act appropriation	-	\$5,735	-
302 Budget Act appropriation	-	39,595	-
Prior year balances available:			
Item 6440-301-0668, Budget Act of 2011	-	-	\$5,735
Item 6440-302-0668, Budget Act of 2011	-	-	36,913
Totals Available	\$-	\$45,330	\$42,648
Balance available in subsequent years	-	-42,648	-
TOTALS, EXPENDITURES	\$-	\$2,682	\$42,648
0705 Higher Education Capital Outlay Bond Fund of 1992			
APPROPRIATIONS			
Prior year balances available:			
Item 6440-301-0705, Budget Act of 2008, as reappropriated by Item 6440-492, Budget Act of 2011	-	\$2,855	-
Item 6440-301-0705, Budget Act of 2009	\$1,645	1,645	-
Totals Available	\$1,645	\$4,500	\$-
Balance available in subsequent years	-1,645	-	-
TOTALS, EXPENDITURES	\$-	\$4,500	\$-
0791 June 1990 Higher Education Capital Outlay Bond Fund			
APPROPRIATIONS			
Prior year balances available:			
Item 6440-301-0791, Budget Act of 2009	\$660	\$660	-
Totals Available	\$660	\$660	\$-
Balance available in subsequent years	-660	-	-
TOTALS, EXPENDITURES	\$-	\$660	\$-
0994 Other Unclassified Funds			

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
APPROPRIATIONS			
Nonstate funds	<u>\$794,589</u>	<u>\$133,727</u>	<u>\$18,881</u>
TOTALS, EXPENDITURES	\$794,589	\$133,727	\$18,881
6048 2006 University Capital Outlay Bond Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$4,668	\$7,045	-
302 Budget Act appropriation	-	2,267	-
Prior year balances available:			
Item 6440-301-6048, Budget Act of 2006, as reappropriated by Item 6440-491, Budget Acts of 2007, 2008, and 2009 & Item 6440-492, Budget Act of 2011	-	18,865	-
Item 6440-301-6048, Budget Act of 2007, as reappropriated by Item 6440-492, Budget Acts of 2010 and 2011	9,527	3,132	-
Augmentation per Government Code Sections 16352, 16409 and 16354	3,600	-	-
Item 6440-301-6048, Budget Act of 2008, as reappropriated by Item 6440-492, Budget Act of 2011	-	4,620	-
Item 6440-301-6048, Budget Act of 2010, as reappropriated by Item 6440-492, Budget Act of 2011	-	1,800	-
Item 6440-302-6048, Budget Act of 2007, as reappropriated by Item 6440-491, Budget Act of 2009	9,628	9,628	-
Item 6440-304-6048, Budget Act of 2007 as reappropriated by Item 6440-491, Budget Acts of 2008 and 2009, and Item 6440-492, Budget Act of 2011	10,265	10,265	-
Item 6440-304-6048, Budget Act of 2008 as amended by Chapter 269, Statutes of 2008, as reapprop. by Item 6440-491, BA 2009 & Item 6440-492, BA 2011	13,639	3,775	-
Item 6440-305-6048, Budget Act of 2007, as reappropriated by Items 6440-491 and 6440-493, Budget Act of 2009	12,786	2,000	-
Item 6440-305-6048, Budget Act of 2009, as reappropriated by Item 6440-492, Budget Act of 2011	24,788	21,198	-
Totals Available	\$88,901	\$84,595	\$-
Unexpended balance, estimated savings	-6,395	-	-
Balance available in subsequent years	<u>-51,798</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$30,708	\$84,595	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$922,612	\$581,275	\$107,513

* Dollars in thousands, except in Salary Range.